

ACADEMIC REGULATIONS AND GUIDELINES FOR THE RESEARCH PROGRAMMES (Ph.D.)



Applicable to the scholars admitted from the academic year 2024-25 onwards

CENTRE FOR RESEARCH STUDIES (CRS)

**KRISHNA UNIVERSITY (KRU), Machilipatnam - 521004 A.P.
July, 2025**

PREAMBLE

The Centre for Research Studies (CRS) is established to promote research activities of KRU, Machilipatnam. The Centre will monitor and administer research programmes of Ph.D. (both full-time & part-time) offered by the University. The minimum standards and procedure for the award of Ph.D. have been revised in accordance with University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018 in the official gazette on 23rd July 2018, recommendations of National Education Policy 2020 and the UGC has notified the new UGC (Minimum Standards and Procedure for award of Ph.D.) regulations, 2022 in the official gazette on 7th November 2022. These new regulations are framed to encourage research scholars to become well trained researchers and inquisitive explorers.

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1. RESEARCH ADVISORY COMMITTEE

The Research Advisory Committee is responsible for the promotion of research activities in the University through periodical review and monitoring of ongoing research activities. The following members constitute the committee.

| | | |
|--|---|-------------------|
| Vice-Chancellor | - | Chairman |
| Rector, KRU | - | Member |
| Principals of the Constituent Colleges | - | Member |
| Registrar, KRU | - | Member |
| Deans of the Faculties, KRU | - | Member |
| Director, CRS, KRU | - | Member & Convener |

DEPARTMENTAL RESEARCH COMMITTEE (DRC)

2.1 Formation of DRC

Every academic department must have a Departmental Research Committee (DRC). The DRC will be formed by the Director, CRS, with the approval of the Vice-Chancellor.

The composition of the Committee is as follows:

1. Head of the Department - Convener of the Committee
2. Chairman, Board of Studies - Chairman of the Committee
3. Professor (One/Two) - Member
4. Associate Professors (One/Two) - Member
5. Assistant Professors (One/Two) - Member
6. One person from any National / International R&D organizations (Optional) - Member

All members must have doctoral degrees. If there are no adequate number of faculty in any cadre in the Department, those places may be filled in by the faculty of other cadres.

2.2 Functions of DRC

The functions of the Departmental Research Committee are:

- i. To fix up the number of seats in Ph.D. to be filled every year for research admissions (the strength of the candidates with a guide at any time shall not exceed the number given in Research Regulations).
- ii. To assist in preparation of the Academic Calendar for Ph.D. programmes for the academic year.
- iii. To decide areas or topics of research to be pursued by the Ph.D. students for that academic year at the time of admission.
- iv. To recommend syllabi for Pre-Ph.D. course work for approval by BOS.

- v. To suggest panel of examiners and paper setters for Pre-Ph.D. examinations to the BOS.
- vi. To prepare Database of experts for each specialized area which can be used for the preparation of panel of examiners for adjudicating the Ph. D dissertations / thesis. The database may be periodically up dated and made available to BOS.’
- vii. To participate in all the Viva-Voce examinations and seminars of the Pre. Ph.D/Ph.D. programmes.

Note: All special cases which do not fall under the above categories will be discussed at the RAC for final recommendations.

3. Eligibility Criteria for Admission to the Ph.D. Programme

3.1 Minimum Qualifications: Ph.D. can be pursued by candidates possessing the minimum qualifications prescribed for admission as given below

Candidates who have completed:

- (i) A 1-year / 2-semester master's degree programme after a 4-year / 8-semester bachelor's degree programme or a 2-year / 4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.

OR

Equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer) / differently - Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a 4-year / 8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer) / Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

- (ii) Candidates who have completed the M.Phil programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an

authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer) / Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

3.2 Ph.D. through Part-time Mode:

- I. Ph.D. programmes through part-time mode shall be permitted, provide all the conditions stipulated in these regulations are fulfilled.
- II. Candidates satisfying the eligibility conditions noted under 3.1 above can be admitted as part-time candidate through Andhra Pradesh Research Common Entrance Test (APRCET) conducted at the state level on behalf of the Universities concerned as per the G.Os issued by the government from time to time or Krishna University Research Common Entrance Test (KRURCET) or any other selection process as per the State Government of Andhra Pradesh / Krishna University whichever is applicable from time to time, as per the following order of priority.
 - (a) Regular faculty working in the University or P.G. Centers.
 - (b) Faculty working post graduate and professional colleges having completed at least two years of service.

OR
 - (c) Faculty / Librarians / Asst. Librarians working in affiliated degree / P.G. colleges and Faculty teaching Physics, Chemistry, Mathematics & Humanities in professional colleges having completed at least two years of service.

OR
 - (d) Faculty working in polytechnic/Government or private aided junior colleges having completed at least four years of service.

Seniority for candidates under each category shall be calculated with the following weight age:

- (i) Teaching at P.G. level / Professional – Five points for each completed year of service in colleges.
 - (ii) Teaching at graduate level / professional – Two point's for every completed year of service in colleges.
 - (iii) Teaching at poly technic/junior College–One pint for every completed year of service.
- III. Technical employees working in research institutes having completed at least five years of service.
 - IV. The University concerned shall obtain a **“No Objection Certificate”** through the

candidate for a part time Ph.D. programme from the appropriate competent authority in the college/institution/organization where the candidate is employed, clearly stating that:

- (a) The candidate is permitted to pursue studies on a part-time basis.
- (b) His/her official duties permit it him/her to devote sufficient time for research.
- (c) If required, he/she will be relieved from the duty to complete the mandatory course work (one semester).

3.3 Extra-Mural Research (EMR)

- (a) For admission into Ph.D. programme under Extra-mural category, there should be an Internal Guide recognized by the University in the recognized research institution where the candidate is working. In addition to the Internal Guide, a faculty member eligible to guide research from within the concerned Department of University or its PG Center should be included as an External Guide.
- (b) Registration of a candidate under extramural category shall be done twice in a year, i.e., in January and July every year.
- (c) Eligibility for admission under Extramural category shall be as follows:
 - (i) Scientists or Technical Officers working in a research institution recognized by University with a P.G. or equivalent degree satisfying the eligibility conditions noted under 3.1 and having put in two years of experience (Ph.D. Part-Time).
 - (ii) Research Scholars with P.G. or equivalent degree satisfying the eligibility conditions noted under 3.1 having a fellowship awarded by a duly constituted selection committee approved by university and attached to institutions recognized as Research Centers by University and having completed at least six months with scholarship tenable for a further period of two years (Ph.D. Full-Time).

3.4 Faculty Improvement Programme

Regular Faculty working in Krishna University affiliated colleges / Krishna University local area colleges and institutions, intending to pursue Ph.D. programme under FIP or other similar programmes would be required to get their nominations processed by the concerned agencies and join in respective colleges and departments either in the month of January or June in a calendar year.

4. Procedure for Admission

The admission shall be based on the criteria notified by the University, keeping in view of the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time. Admission of a candidate shall be made either into the University Department or into any Institute/Organization/Establishment recognized by the university as a

Research Centre. The admission to the Ph.D. programme shall be made using the following methods:

- (a) The University shall admit students twice in a year, i.e., in January and July every year who qualify for fellowship/scholarship in UGC- NET/UGC-CSIR NET/GATE/CEED and similar National level tests based on interview.

OR

- (b) The University shall admit students to the Ph.D. programme on the basis of merit ranking in the entrance test, i.e., Andhra Pradesh Research Common Entrance Test (APRCET) conducted at the state level on behalf of the Universities concerned as per the G.Os issued by the government from time to time or Krishna University Research Common Entrance Test (KRURCET) or any other selection process as per the State Government of Andhra Pradesh / Krishna University whichever is applicable from time to time.

- (i) The entrance test syllabus shall consist of 50% of research methodology, and 50% shall be subject specific.
 - (ii) Students who have secured 50% marks in the entrance test are eligible to be called for the interview.
 - (iii) A relaxation of 5 % marks shall be allowed in the entrance examination for the candidates belonging to SC/ST/BC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.
 - (iv) The University will decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.
 - (v) Provided that for the selection of candidates based on the entrance test conducted by the University, a weight age of 70% for the entrance test and 30 % for the performance in the interview/viva- voce shall be given.
- (c) The University will notify a prospectus well in advance on the University's website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates adhere to the National/State-level reservation policy, as applicable.
- (d) The University will maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, areas of research interest/specializations, and the department /school /centre), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the website of the University and update this list every academic year.

5. Duration of the Programme

- (A) Ph.D. Full Time /Part Time programme shall be for a minimum duration of Three/Four (3/4) years, including course work, and a maximum duration of Five/Six (5/6) years from

the date of admission to the Ph.D. programme.

- (B) Extension of maximum of an additional two (2) years can be given through a process of re-registration by paying a fee of Rs. 15,000/- along with applicable annual fee; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
- (C) Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
- (D) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

For calculating the minimum and maximum periods for submitting thesis, the candidate's date of admission shall only be considered. The minimum and maximum period of research for Ph.D. (Full-Time and Part-Time) is furnished below.

| Cat. | Program Particulars | Duration of Ph.D. Programme | |
|------|---------------------|-----------------------------|---------|
| | | Minimum | Maximum |
| 01 | Full-Time Ph.D | 3Years | 5 Years |
| 02 | Part-Time Ph.D | 4Years | 6Years |

(E) **Attendance**

A full-time candidate shall be required to put up a minimum of 75% attendance. However, candidates with not less than 65% of attendance can be considered for condonation on medical grounds.

6. Fee Particulars

- (A) On selection for admission, candidates shall be required to pay the prescribed fee as per the following criteria and deposits. The fee and deposits have to be paid on stipulated dates, as given below, regularly every year, till the successful completion of the program and submission of thesis or cancellation of the admission as the case maybe. If the fee is not paid by the stipulated due date, a late fee will be levied.

Fee Rs. 20,000/Year in two terms of 10,000/-each

Note: The selected candidates for Ph.D programme should report along with D.D for **Rupees 11,200/-** (Rs.10,000/- one term fee + Rs.500/- caution deposit + Rs.500/- Library+ Rs.200/ Admission fee) in favor of the Registrar, Krishna University, Machilipatnam, (the second term of Rs.10,000/-Should be paid in the month of January) that is Rs.20,000/- (Rupees Twenty thousand) per annum.

Fee for Ph.D (Full - Time/Part - Time):

| S. No. | Nature of Fee | Rs. | Last Date for Payment | Late Fee Rs. |
|--------|--|--------|--|---------------------------|
| 1. | I Term of Every Year | 10,000 | 31 st July of Every Year | 1000 for every six Months |
| 2. | II Term of Every Year | 10,000 | 31 st January of Every Year | 1000 for every six Months |
| 3. | Library (To be paid along with I Term Fee) | 500 | | |
| 4. | Part-I Exam Fee | 5,000 | | |
| 5. | Re-Registration Fee | 15,000 | | |
| 6. | Caution Deposit (To be paid along with I Term Fee) | 500 | | |
| 7. | Plagiarism Check Fee | 1,000 | | |
| 8. | Adjudication Fee | 20,000 | | |

(B) Fee for Ph.D (Extramural):

| S. No. | Nature of Fee | Rs. | Last Date for Payment | Late Fee Rs. |
|--------|--|--------|--|----------------------------|
| 1. | I Term of Every Year | 15,000 | 31 st July of Every Year | 1,000 for every six months |
| 2. | II Term of Every Year | 15,000 | 31 st January of Every Year | 1,000 for every six Months |
| 3. | Library (To be paid along with I Term Fee) | 500 | | |
| 4. | Part-I Exam Fee | 5,000 | | |
| 5. | Re - Registration Fee | 30,000 | | |
| 6. | Caution Deposit (To be paid along with I Term Fee) | 500 | | |
| 7. | Plagiarism Check Fee | 1,000 | | |
| 8. | Adjudication Fee | 20,000 | | |

(C) Processing Charges:

1. For Ph. D admission under Extramural/FDP/NET Fellowship/Project Fellow: Rs.5,000/-.
2. Conversion from Ph,D Full Time to Part Time or vice - versa: : Rs.5,000/
3. Change of Guide : Rs.3,000

(D) Non-payment of any term fee for a period of one year will lead to the cancellation of admission without any prior notice to the candidate.

- (E) Fee receipts must be preserved till the scholar receives Provisional Certificate/Original Degree.
- (F) In the event of cancellation of Ph.D. registration by the candidate on his/her request or by university for any reason, the entire fee amount due must be cleared by the candidate for the return of original certificates.
- (G) A candidate must clear all fee dues and produce no dues certificate before pre-submission seminar (Doctoral Colloquium).

7. Eligibility criteria for Ph.D. Supervisor, Selection, and Allocation of Supervisor

(A) Eligibility Criteria.

- (i) Permanent regular faculty members working as Professor/Associate Professor of Krishna University with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in Krishna University with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes.
- (ii) For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements.
- (iii) Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- (iv) Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority. Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors with the approval of the Vice-Chancellor. In case of inter disciplinary/multi disciplinary research work, if required, a Co-Supervisor/Joint supervisor from outside the Department/School/Centre/College/University may be appointed with the approval of the Vice-Chancellor of the University based on the remarks and recommendations received from the DRC in the department concerned.

- (v) An eligible Professor/Associate Professor/Assistant Professor can guide/co-guide, as the case may be, up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time in an academic year.
- (vi) In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- (vii) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- (viii) A regular faculty (Professor/Associate Professor/Assistant Professor) who come on deputation/lien/foreign service from other University/Institution/Organization shall not be allocated research scholars in any department of Krishna University.
- (ix) A vacancy with the supervisor may be considered to have occurred only when a candidate working under him/her either submits the thesis or completes the maximum period prescribed for the research, or his/her registration is cancelled.
- (x) In case, a scholar, after completing the maximum period, likely to continue his/her research with the research supervisor by securing re-registration; he/she shall be counted for the computation of the number of research scholars working under the supervisor.
- (xi) If any dispute arises in counting the eligible scholars, the decision of the URC of the University shall prevail.

(B) Scrutiny of Applications for Recognition of Research Supervisor

- (i) All those eligible to be recognized as research supervisors as per the qualifications prescribed clause 7A (1) & 7A (2), shall make an application in duplicate to the Director, CRS of University (Prescribed proforma is available in the university's website).
- (ii) The applications shall be scrutinized initially by the concerned Departmental Research Committee (DRC).

(C) Change of Supervisor/Co-supervisor

If a scholar wants to change the supervisor/Co-supervisor, it should be done with the approval of doctoral research committee (DRC) of the concerned department, and the Vice-Chancellor of the University. For this purpose, the candidate should apply in the prescribed format and submit to the office of the Director, CRS. The application from the candidate for change of supervisor/co-supervisor shall be endorsed by the supervisor and co-supervisor. If the application is for change of supervisor, the letters of No Objection from both, old and proposed supervisor/s should be submitted. For change of Supervisor, the thesis submission date will be one calendar year after the change is affected. Bio-data of the new supervisor/co-supervisor also should be attached with the application, with relevant documents as prescribed at the time of application.

8. Admission of International Students in Ph.D. Programme

- (i) Each supervisor can guide up to two international research scholars on a super numeracy basis over and above the permitted number of Ph.D. scholars.
- (ii) The University may decide its own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.

9. Course Work- Credit Requirements, Number, Duration, Syllabus, Minimum Standards for Completion, etc.

After having been admitted, each Ph.D. scholar (both part-time and full-time) shall be required to undertake course work for a minimum period of one semester in the first year only. The course work shall be treated as Pre-Ph.D. preparation. Completion of course work is necessary for taking Pre-Ph.D. examination. The course work and Pre-Ph.D. examination details are given below:

- (A) All the scholars shall have to maintain the required minimum attendance criterion (75%), based on which alone they will be permitted to appear for Pre-Ph.D. examination.
- (B) As part of the course work, every scholar should undertake a review of published literature on the research related subject/theme.
- (C) During the course work, scholar should make a seminar presentation on the research topic including relevance of the subject/theme, methodology proposed, the contribution to theory, etc.
- (D) The review of research and seminar presentation should be evaluated by the Departmental Research Committee (DRC). The scholar shall incorporate changes, if any, suggested by DRC to strengthen the research. If the Committee is not satisfied, the scholar should revise the research work as well as the presentation as per the inputs /

guidelines suggested by the Committee.

- (E) The departments where the research scholars pursue their research shall prescribe the course(s) to the scholars based on the recommendations of the DRC. Course work for Pre-Ph.D. examination consists of the following four papers:

Paper-I: One common course on Research Methodology including Quantitative Methods and Computer Applications for 100marks/04 Credits.

Paper-II: One course on broad field of research relating to the topic focusing on theory, literature review and developments for 100 marks /04 Credits.

Paper-III: One course related to the topic of research for 100marks/04 Credits.

Paper-IV: Open Seminar for 50 marks/02 Credits.

- (A) **Pre-Ph.D. Examination.** -The syllabus and examination pattern for the courses mentioned in clause 9 (F) shall be prepared and recommended by concerned Board of Studies (BOS).

- (i) However, the syllabus for the common course such as paper-I: Research methodology shall be prepared and recommended by the chairmen, DRC of the departments concerned and recommend the same to the BOS concerned for approval.
- (ii) The syllabus for Paper-III: Topic research shall be prepared and by the research supervisor of the scholar concerned, and recommend the same to the BOS concerned for its approval.
- (iii) Each scholar must submit selected courses along with syllabus duly recommended and signed by his/her supervisor(s) to the office of the CRS of the University. The same information shall be communicated to the Controller of Examinations through the office of CRS.
- (iv) The two selected subjects (Paper-II & Paper-III) related to research work for Pre-Ph.D. examination shall not be changed by the research scholar once selected.
- (v) The Pre- Ph.D. examination dates and time schedule will be intimated by Controller of Examinations in KRU website. No individual letters will be sent to research scholars. However, they may be communicated over phone or by short messaging services (SMS).
- (vi) The DRC can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
- (vii) The scholars should take the Pre-Ph.D. examination within one year from the date of registration.
- (viii) The Pre-Ph.D. examination hall ticket will be issued by the office of the CRS two days before the scheduled examination, subject to the condition that research

scholar fees payments are up to date. The subjects offered for Pre-Ph.D. examination in various faculties including syllabi is available on the University website.

(ix) **Evaluation of Ph.D. Coursework**

- (a) The panel of paper setters and examiners for Paper-1, Paper-II should be provided by Chairperson, Board of Studies from the list approved by the BOS in the department concerned. The question paper for Paper-III: Topic research shall be prepared forwarded to the Controller of examinations by the supervisor of the scholar concerned only with the approval of the BOS concerned.
- (b) Evaluation of the Paper-I, II and III of the Ph.D. course work shall be double valuation with two qualified and competent examiners (One examiner from Krishna University and another examiner from other state University).
- (c) DRC shall submit the credit points along with recommendations to Controller of examinations of the University. The scholar shall give a seminar (Paper-IV) on the research topic emphasizing the importance of the problem, review of literature, methodology and techniques to be adopted including interpretation of data and the expected outcome. The seminar is open to all members of the department.
- (d) A Ph.D. scholar must obtain a minimum of 50% marks in the course work to be eligible to continue in the programme and submit his or her thesis.

In the Ph.D. course work, the results and grade sheets will only carry Pass/Fail results.

Grading for Ph.D courses is as follows:

80 < 100 A⁺
75 < 80 A
80 < 100 A⁺
65 < 75 B⁺
60 < 65 B
50 < 60 C

- (e) In case a candidate fails to pass in three attempts, his/her admission shall be cancelled.
- (f) There is no provision for improvement or special supplementary examination to be conducted. Failure to complete the course work within the two year means that the scholars have to leave the programme.
- (g) Payment of examination fees for appearing Pre-Ph.D. examination and inability to write the exam is also deemed as an attempt. Pre-Ph.D.

examination fees is same whether the candidate is appearing for three papers or one paper at a time.

10. Review the Progress of Research Work of the Scholars

- (i) DRC shall hold research review meeting with all scholars and supervisors of their departments twice in a year.
- (ii) For the purpose of monitoring the progress of scholars' research work, all the research scholars including those who are on the current rolls of KRU for Ph.D. (both full-time and part-time) are required to attend research review meetings (RRMs) and make power point presentations as and when they are conducted. At the time of RRM, research scholars shall submit hard copies of their research progress in duplicate, in prescribed format with Supervisor and Co-supervisor signatures. Additionally, tangible output if any, such as: Journal Publications/Presentations in a Conference/Poster Presentation in a Seminar/ Conference or a Patent, etc. should be submitted.
- (iii) The DRC concerned shall review and assess the performance of the research scholar's progress of research work as per the performance assessment sheet provided to DRC.
- (iv) The half-yearly progress report should cover the following as parts
 - (a) The review of literature
 - (b) New data acquired/techniques developed
 - (c) Progress/standardization in research methodology
 - (d) Discussion of the work done including any new findings
- (v) If a scholar fails to submit two consecutive half-yearly progress reports in time, or the progress made by the scholar is not satisfactory, the Departmental Research Committee (DRC) may recommend to the University for Cancellation of the registration of the research scholar.
- (vi) In the event of discontinuation of Ph.D. programme by the candidate on his/her request for any reason, the entire fee amount due must be cleared by the candidate for the return of original certificates, T.C, study certificate, etc. The specific recommendations of the DRC are also necessary for processing such requests submitted by the scholar through proper channel.
- (vii) The scholar has to successfully complete a minimum of Four (04) RRM's with satisfactory report before pre-submission seminar (Doctoral Colloquium).
- (viii) For the RRM's, the presence of supervisor (s) of the candidate is compulsory. There shall not be any financial commitment on the part of the University in this regard. The intimation of RRM will be placed in the KRU website. Candidates are requested to see KRU website periodically. No individual letters will be sent to research scholars. The RRM's will be held in the first/second week of Jan and July in an academic year.

11. Submission Criteria for Ph.D. Thesis

- (A) The scholar shall present one compulsory seminar, called pre-submission seminar (Doctoral Colloquium) subject to fulfillment of the following:
- (i) The scholar shall apply for compulsory seminar in a prescribed form duly signed by his/her supervisor (s) along with three copies of synopsis (in a prescribed format) and draft Ph.D. thesis to the office of Director, CRS.
 - (ii) The scholar should have passed mandatory course (one semester) Pre-Ph.D. examination.
 - (iii) The scholar should have successfully completed a minimum of Four (04) RRM's with satisfactory report by the DRC.
 - (iv) The scholar should have published at least two research papers (conceptual/empirical) related to his/her thesis only in a peer reviewed refereed national or international journal /SCI /Web of science /Scopus. The scholar must produce evidence for the same in the form of acceptance letter or the reprint. In the journal papers and conference papers the research scholars name must be as the first author and the supervisor/co-supervisor's name must be as the second author.
 - (v) The scholar can also apply for pre-submission seminar, if he/she has at least one recognized patent rights, whose evidence and details are to be enclosed (mere filing for patent will not be considered).
 - (vi) The scholar should have attended and presented research papers (related to his/her thesis) in at least two National / International seminars / Conferences / research symposiums held in Universities / Institutions with at least one in other University / Institute.
 - (vii) The pre-submission seminar should be at least one month before submission of thesis in the concerned department on the research work, carried out by research scholar.
 - (viii) The doctoral research committee (DRC) of concerned department should conduct pre-submission seminar within three weeks from the date of receipt of formal letter from the office of CRS.
 - (ix) The research scholars are expected to make a PPT presentation about the research work done by them. The DRC is empowered to accept the research work of scholar for submission or may advise to revise / reappear with modification made. The seminar will be open to all faculty members and research scholars.
 - (x) The scholar should submit his/her thesis, incorporating all changes suggested by DRC, if any, to the University within three months from the date of completion of pre-submission seminar. In case of no changes or amendments suggested by DRC, the scholar should submit his/her thesis within a month from the date of completion of pre-submission seminar. In any case scholar fails to submit his/her thesis to the University the scholar has to reappear for pre-submission seminar through proper channel.

(B) Plagiarism Check

- (i) After getting the clearance from the Departmental Research Committee (DRC) prescribed in clauses (3) & (4) of Regulation 9 above, the research scholar shall be required to submit an application (in a prescribed form) along with his/her full Ph.D. thesis (soft copy excluding initial pages, end references and annexure, if any), through proper channel, to the Director, CRS for detecting plagiarism (using appropriate software approved by the University) in his/her research work. The maximum allowed similarity is 10% only, includes the candidates' own publications and presentations. However, it shall exclude a common knowledge or coincidental terms up to fourteen (14) consecutive words. If any text strings/figures are found to be copied or dubious without proper acknowledgement of the source, the thesis has to be thoroughly revised. In such event the revised soft copy of the CD must be submitted within one month from the date of checking with anti-plagiarism soft ware.
- (ii) The similarity checks for plagiarism shall exclude the following:
 - (a) All quoted work reproduced with all necessary permission and / or attribution.
 - (b) All references, bibliography, table of content, preface and acknowledgements.
 - (c) All generic terms, laws, standard symbols and standards equations.
- (iii) Levels of Plagiarism: Plagiarism would be quantified into following levels in ascending order of severity for the purpose of its definition as notified by UGC (promotion of academic integrity and prevention of plagiarism in higher educational institutions) regulations
 - Level0: Similarities up to 10% - Minor similarities, no penalty
 - Level1: Similarities above 10% to 40%
 - Level2: Similarities above 40% to 60%
 - Level3: Similarities above 60%

Penalties

Penalties in the cases of plagiarism shall be imposed on students pursuing studies at the level of research programs. The Institutions Academic Integrity Panel (IAIP) shall impose penalty considering the severity of the plagiarism at levels of plagiarism prescribed in clause 6(b) of Regulation 9 above. The penalties shall be as follows:

Level 0: Similarities up to 10% - Minor similarities, no penalty: no revision.

Level 1: Similarities above 10% to 40% - Such scholar shall be asked to submit a revised script within a stipulated time period not exceeding 3 months.

Level 2: Similarities above 40% to 60% -Such scholar shall be advised to submit the revised script within a period of six months. The respective research supervisor shall be denied a right to two successive annual increments, and shall not be allowed to be a supervisor to any new Ph.D. scholar for a period of two years.

Level 3: Similarities above 60% - Such scholar's registration for that programme shall be cancelled. The respective research supervisor shall be denied a right to two successive annual increments, and shall not be allowed to be a supervisor to any new Ph.D. scholar for a period of three years.

12. Procedure for Submission of Ph.D. Thesis

- (A) A Ph.D. scholar may submit his/her thesis any time during one month before or after the expiry of the stipulated period of research as mentioned in Regulation 5 subject to meeting pre-requirements for submission of thesis as mentioned in Regulation 11. However, the scholar may be permitted for early submission, i.e., six months before the stipulated period of research, duly recommended by the DRC with the approval of the Vice-Chancellor of the University.
- (B) Every Ph.D. scholar submitting a thesis to the University shall submit an undertaking (in a prescribed format mentioned Ph.D. thesis specimen/template) indicating that the thesis has been prepared by him/her and the thesis is his/her original work and free of any plagiarism. The undertaking shall include the fact that the thesis has been duly checked through plagiarism detection software approved by the University.
- (C) Each supervisor shall submit a certificate (in a prescribed format mentioned in Ph.D. thesis specimen/template) indicating that the work done by the Ph.D. scholar under him/her is plagiarism free.
- (D) A Ph.D. scholar, after completing the due formalities, is required to submit six (6) hard bound copies of approved thesis (in a prescribed thesis template), synopsis (in a prescribed template) and soft copies of thesis and synopsis (MS word and PDF in CD) similar and same to soft copy of the Ph.D. thesis given for plagiarism detection) along with the prescribed application form, panel of examiners in a prescribed format and adjudication fee of Rs. 20,000/- paid through SBI Collect to the office of the CRS of the University within one month from the date of receipt of Plagiarism detection results from the office of the CRS of the University.
- (E) The candidate shall be held responsible for the genuineness of his/her work and any false statement shall lead to cancellation of his/her registration/degree at any stage even after the declaration of results.

13. Adjudication of the Ph.D. Thesis, Viva-Voce Examination and Declaration of the Ph.D. Degree

- (A) Upon receiving the Ph.D. thesis and synopsis (hard bound copies and soft copy) along with a panel of examiners (in a prescribed format) as mentioned in clause 4 of Regulation 12 above, the Director, CRS shall verify the submitted thesis and synopsis for its conformance to the prescribed Ph.D. thesis specimen/template of the University and plagiarism norms.

- (B) Upon successful verification of the submitted Ph.D. thesis by the scholar along with a panel of examiners, the Director, CRS of the University will forward the Ph.D. thesis to the examiners for evaluation and adjudication as per the prescribed evaluation and adjudication form.
- (C) The Ph.D. thesis submitted by a Ph.D. Scholar shall be evaluated by his/her Research supervisor and two external examiners who are experts in the field and not in employment of Krishna University. The external examiners should be a professor /Associate Professor with a good record of scholarly publications in the field related to submitted Ph.D. thesis of the scholar concerned.
- (D) The external examiners should consist of three foreign examiners (from outside of India); three (3) from either Central Universities or state Universities. The Vice-Chancellor may add name/names to the list of adjudicators.
- (i) Necessary care is to be taken to avoid the names of examiners from the Organization/University of supervisor and co-supervisor.
 - (ii) Panel of the examiners is to be submitted with all particulars, like designation, area of specialization, complete address, telephone numbers/fax/email of the examiner etc., as prescribed in the proforma.
 - (iii) Only one examiner may be included from the panel from any University/ Institution/ Organization.
 - (iv) Subject experts from the University/Institute/Organization where the Supervisor/Co-supervisor belongs, and persons related to candidate shall not be included in the panel of the examiners.
 - (v) The Vice-Chancellor shall appoint two examiners (one examiner from each category) from amongst a panel of six names.
- (E) The examiners are required to:
- (a) Outline the main features of the thesis/dissertation.
 - (b) Critically evaluate the thesis and send a report on the thesis in not less than 500 words.
 - (c) The examiners may be requested to raise relevant questions /clarifications on the subject matter of the thesis.
 - (d) Make one of the four following definite recommendations.
 - (i) The thesis attains the standard required for the award of the M.Phil./Ph.D. degree.
 - (ii) The thesis requires revision and re-submission to the Departmental Research Committee (DRC).
 - (iii) The thesis requires revision and re-submission for re-evaluation by the same examiner.

(iv) The thesis is summarily rejected.

(e) The university shall take a decision on the thesis based on the three reports according to the following:

| Recommendation of Examiners | | | Decision |
|-----------------------------|--------|--------|----------------------------------|
| 1 | 2 | 3 | 4 |
| Accept | Accept | Accept | Accept |
| Revise | Revise | Revise | Revise |
| Reject | Reject | Reject | Reject |
| Accept | Accept | Revise | Revise |
| Accept | Revise | Revise | Revise |
| Accept | Accept | Reject | Send to 4 th examiner |
| Accept | Reject | Reject | Reject |
| Accept | Revise | Reject | Send to 4 th examiner |
| Revise | Revise | Reject | Send to 4 th examiner |
| Revise | Reject | Reject | Reject |

(f) For revision and resubmission of the thesis, the candidate will be given a maximum period of three months from the date of communication of the report(s) to the scholar and supervisor concerned.

(F) Viva-voce Examination/Ph.D. Thesis Defense

(i) Once the thesis is accepted, an open public Ph.D. Viva-Voce examination shall be arranged by the Director, CRS. The Ph.D. Viva-voce board shall consist of the Research Supervisor (internal examiner), at least one of the two external examiners (Preferably the Indian examiner who evaluated the Ph.D. thesis (to be nominated by the Vice-Chancellor), Dean of the Faculty concerned, Chairperson, BOS concerned, Head of the Department concerned. The supervisor is the Convener of Ph.D. viva-voce board. However the

- (a) The open public Ph.D. viva-voce examination should be conducted and completed within three weeks from the date of the orders issued by the University.
- (b) The Supervisor of the Ph.D. scholar shall fix the date and time of Viva- voce examination in consultation with the appointed examiner and other members of the Viva-voce Board.
- (c) In case the examiners who accepted the thesis are not available for the conduct of the Ph.D. viva-voce Examination, the Vice-Chancellor may nominate another examiner from the original panel of examiners.
- (d) The Head of the Department concerned shall issue notification about the conduct of open public Ph.D. viva-voce examination to the scholar concerned, and shall inform all the members of the viva-voce committee, faculty members, scholars and students.
- (e) Once the open public viva-voce examination date and time is fixed and promulgated, it shall be conducted with the minimum 2/3 members of the viva-voce board, with the compulsory presence of both the external examiner and the supervisor.
- (f) The reports of the external examiners should be made available to the Viva- voce board at least one-day before the viva-voce examination.

- (g) The viva-voce examination should primarily be designed to test the understanding of the scholar on the subject matter of the thesis, including methodology employed and the scholar's competence in the general field of study.
 - (h) The scholar should also be asked to clarify any of the points raised by the external/adjudicators in their reports.
 - (i) The Viva-voce shall be open to the members of the DRC/Faculty members/Research scholars and students.
- (ii) The viva-voce Board may on the basis of the unanimous opinion recommend either
- a. That the scholar be awarded the Ph.D. Degree
- OR
- b. That the scholar may appear for the viva-voce examination again after a stipulated time.
- (iii) The University shall complete the entire process of evaluating a Ph.D. thesis, including conduct of Viva-voce exam, declaration of the viva-voce result and issue of the notification for the award of the Ph.D. degree, within a period of six (6) Months from the date of submission of the thesis by the scholar concerned.

(G) Declaration of Ph.D. Degree

- (i) Upon successful completion of viva-voce examination and on consideration of the reports of the viva-voce Board and the thesis adjudicators, the Vice-Chancellor may approve the announcement of the award of the provisional Ph.D. degree to the scholar to the effect that the Ph.D. degree is being awarded in accordance with provisions of UGC Regulations, 2022. The award of the Degree shall be confirmed by the Monitoring and Development Committee/Executive Council of the University.
- (ii) The scholar may publish the thesis, if he/she so desires, only after incorporating the suggestions made by the examiners.
- (iii) The scholar has to apply for Provisional Certificate (PC) immediately within three (3) days from the date of issue of notification for the award of Ph.D. degree by the Director of Evaluation/Controller of Examinations in a prescribed form along with requisite fee (at present the fee is Rs.2000/-) paying through SBI Collect.
- (iv) The scholar must submit a copy of the SSC pass certificate for the record of the name on Provisional Certificate/Original Degree of Ph.D. The scholar's name must be as per SSC record only in the admission register of CRS, marks memos and also on the thesis.

14. Depository with INFLIBNET

At the time of collecting PC the scholar must submit a soft copy of thesis (as per the Shodhganga format) with all the modifications made as suggested by the examiner in viva-voce, if any. Submission of CD with revisions if any is necessary to collect the Provisional Certificate/Original Degree. The soft copy of the thesis submitted by the candidate shall be sent to Information and Library Network Centre (INFLIBNET), for hoisting the same so as to make it accessible to all research institutions/Universities.

15. Change of Topic of Research, Title of thesis, and Supervisor

- (i) In case the research scholar applies for change of area of research (topic), he/she has to take due approval from the Director, CRS through the recommendation of doctoral research committee (DRC) of concerned faculty. The Ph.D. scholar shall submit the thesis only after one year from the date of approval of change of area of research.
- (ii) The final title of thesis should be as approved by the Departmental Research Committee (DRC) before the submission of the thesis. The candidate can submit the proforma for colloquium with the old title and request the colloquium committee to approve the new title. And, the colloquium committee suggests any modification/changes in the title proposed by the candidate. In such cases the revised title must be clearly written in the proforma and all the members of the colloquium committee must sign on the proforma. Then there should not be any change in the title once it is approved by the colloquium committee. There should not be any deviation in the title approved in colloquium to the tile given on the thesis copies.
- (iii) If a scholar wants to change the supervisor, it should be done with the approval of Doctoral Research Committee (DRC) of the concerned department with the approval Vice-Chancellor. For this purpose, the candidate should apply in the prescribed format and submit to the office of Coordinator, CRS. The application from the candidate for change of title/topic/supervisor/s shall be endorsed by the supervisor and co-supervisor. If the application is for change of supervisor, the letters of No Objection from both, old and proposed supervisor/s should be submitted. For change of Supervisor, the thesis submission date will be one calendar year after the change is affected. Bio-data of the new supervisor/co- supervisor also should be attached with the application, with relevant documents as prescribed at the time of application.
- (iv) The signature of research supervisor (s) with seal is essential for any letter submitted to the Director, CRS.

16. CONVERSION FROM FULL -TIME TO PART-TIME AND VICE-VERSA.

- (a) Candidates pursuing full-time Ph.D. programme may be permitted to convert into part-time Ph.D. programme provided they satisfy the eligibility conditions for part-time notwithstanding the length of service. However, the candidate has to pay the prescribed fee for such conversion. This conversion of full-time to part-time will be permitted only if one gets a job (Academic/Scientific). Full-Time to Part-Time conversion is allowed only after 9 months of registration and with the permission of the Guide(s) and DRC.
- (b) Conversion from Part-Time to Full-Time is not allowed, except in case of candidates joining under Faculty Improvement Programme or getting a National /State Government/State Government undertaking body Fellowship.

17. Procedure for Recognition of Research Centre

(A) Post - Graduate College(s) / Institution(s) offering 4-year Under graduate Programmes and / or Post-graduate Programmes affiliated to Krishna University may be recognized as a research centre to offer Ph.D. programmes only if they satisfy the following academic, research, administrative and infrastructure requirements:

- (i) The college/institute should have minimum UGC 2f or 12B /NAAC recognition.
- (ii) The relevant P.G. Course in Arts and Science/Pharmacy/ Management/Engineering must be in existence for at least last TWO years and Name of the Branch /Department in which Ph.D. program is to be offered must be declared with specialization.
- (iii) At least two regular full time faculty members with two years of post - Ph.D. experience should be available in the proposed department for recognition of research centre and recognition of research supervisors in the college/institution concerned.
- (iv) The proposed supervisors should have a Ph.D. degree in the relevant discipline from a reputed University with a minimum of Five years of PG teaching/research experience, out of which the post Ph.D. experience should be two years and having six publications out of which three publications must be in Peer Reviewed/ Web of Science/Scopus indexed/AICTE approved/Refereed National/International journals after obtaining Ph.D. Degree for eligibility as a recognized research supervisor. These may be prescribed by the University from time to time. The proposed research supervisor should submit an application in a prescribed format to the University through the College/Institution concerned for the purpose of recognition of research supervisor in the department concerned.
- (v) The college/ institution should provide necessary journals and books required for the research.
- (vi) The college/ institution should provide necessary laboratory facilities for the research required.
- (vii) The college/institution must provide Networking/Internet facilities/ Laboratory/ equipment etc. to Research Scholars.
- (viii) The allotted supervisor for the research program should be available in the department continuously till the submission of the Ph.D. thesis by the scholar.
- (ix) Failure to ensure the recognized research supervisor's stay in the college/institute for a minimum period of three years from the date of admission and allotment of research scholars to recognized research supervisors, the college concerned should pay a minim penal fee of Rs.1Lakh

for each scholar admitted in the academic year.

- (x) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- (xi) If the supervisor leaves the college/institution during the period of research, the research scholar may be transferred to any other eligible guide or to any other research centre recognized by the KRU with the recommendations of the Departmental Research Committee (DRC) concerned and the approval of the Vice-Chancellor.
- (xii) The College/institution shall be willing to provide the minimum expenditure to meet contingencies/conferences/fieldworks/stationary etc in connection with research carried out by admitted scholars in the College/institution.
- (xiii) The tuition fee paid to the university by the full-time scholars at the time of admission will be transferred to respective research centres on request after student passing Pre-Ph.D exams. For the subsequent years the research centres are authorized to collect applicable tuition fee directly from the scholars.
- (xiv) Details of stipend and attendance particulars of the fulltime scholar are to be submitted by the respective research centres to the office of the Director, CRS on or before 10th every month for record and documentation update.
- (xv) The norms, policies, rules and regulations pertinent to attendance, leave fellowship and class work as mentioned in Regulation 6 shall be applicable to all the full time scholars admitted at the research centres.
- (xvi) The College/Institution must give assurance by way of submitting prescribed affidavit.
- (xvii) There cognized research centers shall maintain the admission details, attendance register and all other required details of the registered scholars allotted to them.
- (xviii) Krishna University shall conduct the mandatory course work and pre- Ph.D. examination including the pre-submission seminar for these research scholars along with the other scholars in the University campus.
- (xix) The recognized research centers should submit half-yearly and annual reports related to attendance of full-time scholars, course work, research review meetings, substantial amount invested in upgrading the laboratory facilities, computer networking facilities and procurement of relevant scholarly journal

and/or electronic data bases to the office of the Director, CRS of University.

- (xx) The Ph.D. scholars at the research centers shall follow the approved academic regulations and guidelines of the University that are in vogue.
- (xxi) The University has the right to cancel the recognition of the research centre/research supervisor at any stage on administrative grounds. In such cases the allotted research scholars will be taken care by the University.

B. Procedure for Recognition of New Departments in Colleges/Institutions offering Arts/Science/Pharmacy/Management/Engineering programs as research centers:

- (i) Department(s) in the College/ Institutions seeking approval /recognition for offering Ph.D. programme shall apply to University in the prescribed format.
- (ii) They shall submit the duly filled form along with supporting document evidence showing the possession of required facilities such as adequate laboratory facilities for experimental work needed for research and adequate library facilities for advanced research work for the conduct of Ph.D program.
- (iii) An inspection fee of Rs.20,000/-(Rupees Twenty thousand only) or that may be prescribed by the University from time to time has to be paid at the time of submission of the application form when seeking recognition.
- (iv) After due scrutiny of the filled in applications and documents received thereof, an inspection committee consisting of three members, having the Chairman, Convener and Subject expert shall visit the college / institution. The recommendations of the Inspection Committee, if found satisfied, shall be placed for approval by the University.
- (v) In case, if the University is not satisfied of research/Teaching facilities, it may reject the application and the Institution will be informed about the deficiencies. The Institution can request for re-inspection after duly rectifying the deficiencies pointed out in the first inspection. The University shall depute another inspection team for re-inspection after obtaining the re-inspection fee of Rs. 20,000/- (Rupees Twenty thousand only) or as that may be prescribed by the University from time to time. The orders recognizing the said Department shall be issued after collecting the fee of Rs. 25,000/- (Rupees Twenty Five thousand only) per first year per department and 10,000/-(Ten Thousand) for the remaining two years period or as that may be prescribed by the University from time to time. The department offering for fulltime Ph.D. shall be inspected once in three (3) years. In this regard department shall apply for renewal by duly complying with existing rules and regulations governing there cognition of research centres of Krishna University.

18. Procedure for Adjudication of Thesis

(a) The Director, CRS should arrange for evaluation and adjudication of submitted Ph.D. thesis of the scholar. The Director, CRS shall correspond with the panel of examiners, appointed by the Vice-Chancellor, through e-mail. Only when e-mail is not possible to correspond it should be corresponded through post. The Director, CRS should also send the synopsis of the thesis/dissertation to the adjudicators by e-mail only for acceptance. Once the adjudicator has given his/her consent, the Director, CRS shall mail the soft copy or hard copy of the thesis/dissertation with a covering letter and thesis evaluation form on which the examiner has to give his/her final specific remarks/recommendations. In case of foreign examiner, the copy should be sent through air mail for speedy delivery.

(i) The Examiners to be requested to submit their report on the Ph.D. thesis within 6 weeks from the date of receipt of the hard copy of the Ph.D. thesis or softcopy of the thesis through e-mail, and failure to adhere to the prescribed time limit may call for appointing another adjudicator.

(ii) The remuneration for thesis evaluation and conducting viva-voce examination is prescribed in the given table.

| Details of Remuneration / Honorarium | Ph.D. Thesis | Revised and re-submitted Ph.D. Thesis |
|--|--------------|---------------------------------------|
| Honorarium to Indian Examiner | Rs.3000/- | Rs.2000/- |
| Honorarium to Foreign Examiner | 100 USD | 70USD |
| Honorarium for Viva-voce Examiner (Indian) | Rs.2000/- | |

19. General Information

- (i) The academic regulations should be read as a whole for the purpose of any interpretation
- (ii) In case of any doubt or ambiguity in the interpretation of the above rules, the decision of the Vice-Chancellor is final.
- (iii) The University reserves the right to alter the regulations from time to time as and when necessary.
- (iv) The registration of a Ph.D. scholar maybe cancelled bythe Director, CRS of University within the stipulated period, if the scholar fails to satisfy the conditions stipulated in the admission order or violates the rules and regulations of Ph.D. after giving notice to the concerned scholar.
- (v) The Director, CRS may cancel the registration of a Ph.D. scholar on the recommendation of the supervisor and/or Doctoral Research Committee (DRC) with the approval of the Vice-chancellor under the following circumstances after giving notice to the scholar:
 - (a) The scholar does not fulfill the attendance requirement,

OR

- (b) The scholar abstains consecutively on non-medical grounds for ten days or more without prior permission or he/she is engaged in the study of any other full-time Program of this or any other University/Institution or the scholar being a full-time research scholar engages himself/herself in full-time employment/profession in the university or elsewhere or the scholar is found guilty of misconduct, in discipline or fraud or participation in illegal and deviant activities which bring disrepute to the institution or arouse/incite communal feelings, or group animosities/hostilities or other activities that disturb peace and tranquility on the campus (A research scholar is not expected to take part in activities other than those directly connected with his research work).
- (c) If the scholar fails in Part-I examination even at the third attempt.
- (d) If the scholar fails to submit thesis even after the maximum period permitted after registration or re-registration as the case may be.
- (e) If the scholar's dissertation/thesis does not get approval even after resubmission.
- (f) If the scholar does not pass the *Viva-Voce* even at the second attempt; the review, after the official decision is not favorable.
- (g) If the scholar is found guilty of misconduct, in discipline or fraud.

Notwithstanding any provisions contained in the above regulations, the Vice Chancellor may, in exceptional cases; can review and take a decision.